

# Wealth Management Resources, Inc.

## BUSINESS CONTINUITY PLAN

### Customer Disclosure Statement

Wealth Management Resources, Inc. (“WMR”) plans to quickly recover and resume business operations after a Significant Business Disruption (SBD) and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm’s books and records, and allowing our customers to transact business. In short, WMR’s Business Continuity Plan (BCP) is designed to permit us to resume operations as quickly as possible, given the scope and severity of the SBD.

The BCP addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical supplier, contractor, bank and counter-party impact; regulatory reporting; and assuring our customers prompt access to their funds and securities if we are unable to continue our business.

Each respective product sponsor (such as the mutual fund company or insurance company) maintains all records related to your investments and account holdings and backs up important records in accordance with its business continuity plan. For more information on these companies’ contingency plans, please contact the sponsor directly at the telephone number provided on your statements or offering documents or through its website.

**Significant Business Disruptions:** WMR’s plan takes into account two kinds Significant Business Disruptions, internal and external. Internal SBDs affect only WMR’s ability to communicate and do business, such as a fire or loss of electrical power in the office or building. External SBDs prevent the operation of the securities markets or a number of firms, such as a terrorist attack, a natural disaster, or another event that causes a wide-scale, regional disruption in essential services.

**Internal SBDs:** In the event of a disruption in WMR’s business operations due to an internal SBD, WMR will attempt to continue to conduct business as usual by utilizing alternative communication methods (if available), such as the Internet, cell phones, etc., or by moving its operations to an alternative location. If personnel or operations must be moved to an alternate location, WMR anticipates that it will resume regular operations with 24 hours with no data loss.

**External SBDs:** In the event of a disruption in WMR’s business operations due to an external SBD, WMR will attempt to continue to conduct business as usual by moving its operations to an alternative location outside the affected area, if possible, or by providing customers with alternative communication arrangements, as indicated below, to conduct business or to access their funds and securities. WMR will attempt to resume business within 24 hours and to keep its customers informed regarding relevant events to the best of its ability based on the circumstances. In all cases, WMR will resume normal business operations as soon as it is able to do so, based on the type and the extent of the disrupting event.

**Communications:** In the event you are unable to reach WMR at our main number, please proceed as follows:

1. Contact WMR at the following alternate telephone number: (508) 928-1408.
2. Access WMR’s website at [www.wealthmanagers.com](http://www.wealthmanagers.com).
3. Contact the product sponsor directly at the number listed on your most recent statement.
4. Access the product sponsor’s website for more information on contacting this entity and to review its business continuity plan. The website address can generally be found on your statement or offering documents.
5. Contact FINRA’s District office at the following telephone number for more information on WMR’s status and additional instructions: (617) 532-3400.

**Contact information:** Any questions regarding WMR’s Business Continuity Plan should be addressed to: Arthur C. Everly, 28 Cedar Swamp Road – Suite One, Smithfield, RI 02917; telephone number (401) 356-1400.