Wealth Management Resources, Inc.

Registered Investment Advisor

28 Cedar Swamp Rd Suite 1
Smithfield RI 02917
401-356-1400

DOCUMENTATION CHECKLIST: DUE - DATE ____ / ____ / ____ TIME ________

The following documentation is requested to enable us to properly analyze your present financial situation. Please provide original documents (or complete photocopies) by the due date. Thank you. All information will be kept strictly CONFIDENTIAL.

INVESTMENT STATEMENTS

Please provide last December 31st and the latest copy of your investment statements. These should include bank accounts, mutual fund statements, retirement plans (401k, pensions, etc.), IRAs, SEPs, Keogh plans and annuity statements.

INSURANCE POLICIES

Please provide your original life and disability insurance policies and the latest statement of cash values and benefits. These statements are generally received annually on the policy anniversary. Also, please provide copies of the benefit summary from your homeowner's and automobile insurance; as well as any excess liability plans in-force.

LEGAL DOCUMENTS

Please provide copies of your last original will, trusts, durable power of attorney, durable power for health care, and real estate deeds; as well as any business agreements such as buy/sell agreements, deferred compensation plans, loan documents, contracts and leases.

EMPLOYEE BENEFITS

Please provide a copy of your employee benefits summary. This explains what group benefits you are entitled to and can be obtained from your employer's human resources office.

Also provide the last two pay stubs received from your employer(s) and indicate how often you are paid.

TAX RETURNS

Please provide complete copies of your last filed tax return, both federal and state.